



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/656,765	09/07/2000	Jeremy S DeBonet	11291.00007/GST	1556

7590 11/19/2003

Fay Kaplun & Marcin LLP  
150 Broadway  
Suite 702  
new York, NY 10038

EXAMINER
----------

TODD, GREGORY G

ART UNIT	PAPER NUMBER
----------	--------------

2157

DATE MAILED: 11/19/2003

3

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

Application No.

09/656,765

Applicant(s)

DEBONET ET AL.

Examiner

Gregory G Todd

Art Unit

2157

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 07 September 2000.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-37 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-37 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☒ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on \_\_\_\_\_ is: a) ☐ approved b) ☐ disapproved by the Examiner.  
If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

### Priority under 35 U.S.C. §§ 119 and 120

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
a) ☐ All b) ☐ Some \* c) ☐ None of:  
1. ☐ Certified copies of the priority documents have been received.  
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.  
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  
\* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).  
a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

### Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)                      4) ☐ Interview Summary (PTO-413) Paper No(s). \_\_\_\_\_
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)                      5) ☐ Notice of Informal Patent Application (PTO-152)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) \_\_\_\_\_                      6) ☐ Other: \_\_\_\_\_

### **DETAILED ACTION**

This is a first office action in response to application filed, with the above serial number, on 07 September 2000 in which claims 1-37 are presented for examination. Claims 1-37 are therefore pending in the application.

#### ***Specification***

1. The disclosure is objected to because of the following informalities: Page 1 of the specification is missing from the immediate application (assumed to include the title and inventors, etc).

Appropriate correction is required.

2. Applicant is reminded of the proper language and format for an abstract of the disclosure.

The abstract should be in narrative form and generally limited to a single paragraph on a separate sheet within the range of **50 to 150 words**. It is important that the abstract not exceed 150 words in length since the space provided for the abstract on the computer tape used by the printer is limited. The form and legal phraseology often used in patent claims, such as "means" and "said," should be avoided. The abstract should describe the disclosure sufficiently to assist readers in deciding whether there is a need for consulting the full patent text for details.

The language should be clear and concise and should not repeat information given in the title. It should avoid using phrases which can be implied, such as, "The disclosure concerns," "The disclosure defined by this invention," "The disclosure describes," etc.

#### ***Claim Rejections - 35 USC § 112***

3. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

4. Claims 4 and 5 recite the limitation "the system" in line 21 and 23, respectively.

There is insufficient antecedent basis for this limitation in the claim.

***Claim Rejections - 35 USC § 102***

5. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

6. Claims 1-12, 14-34, and 36-37 are rejected under 35 U.S.C. 102(e) as being anticipated by Wolfe et al (hereinafter "Wolfe", 6,161,142).

7. As per Claims 1 and 29, Wolfe discloses a personal broadcast/audio server system for providing a customized broadcast to one or more users over a transmission media, wherein Wolfe discloses:

a) a data storage device for storing a plurality of broadcast elements (various databases, ie. databases 30 or 18-21) (at least Fig. 1; col. 3 line 66 - col. 4 line 29);

b) a data management system for storing a user profile and a user state for each of said one or more users, wherein said data management system further stores information associated with each of said plurality of broadcast elements (subscriber profile database 28) (at least Fig. 1; col. 3 line 66 - col. 4 line 29; col. 4, lines 43-60);

c) a broadcast element selector having one or more broadcast element selection functions, wherein each broadcast element selection function is operable to select broadcast elements from said data storage device based on a user's user profile, the user's user state, and the information associated with each of said plurality of broadcast elements (specific material vary as a function of subscriber dossier) (at least col. 3, lines 39-49; col. 6, lines 30-56; col. 4, lines 27-29, 54-63); and

d) a broadcast server operable to receive the selected broadcast elements from said data storage device and to provide the selected broadcast elements to the user over the transmission media (transmitting over internet) (at least Fig. 1; col. 6, lines 30-42).

As per Claims 2 and 30.

wherein said data storage device is a file server (at least Fig. 1; col. 3 line 66 - col. 4 line 3; col. 3, lines 30-33).

As per Claims 3 and 31.

wherein said data management system is a database (at least Fig. 1; col. 4, lines 16-21).

As per Claims 4 and 32.

wherein the system comprises a single computing device (at least Fig. 1; col. 3 line 66 - col. 4 line 3).

As per Claims 5 and 33.

wherein the system comprises multiple computing devices (at least Fig. 1; col. 3 line 66 - col. 4 line 3).

As per Claim 6.

wherein said data management system further includes a history of usage for each of said one or more users, and wherein said broadcast element selector is further operable, to exclude broadcast elements from being delivered to a user based on the user's history of usage (purchasing habits, previous music selections, etc) (at least col. 4 line 64 - col. 5 line 8).

As per Claim 7.

wherein said broadcast element selector retrieves the user profile and user state directly from said data management system (at least Fig. 1; col. 6, lines 35-56; col. 5, lines 9-14).

As per Claim 8.

wherein said broadcast server retrieves the user profile and user state from said data management system and provides said user profile and user state to said broadcast element selector (at least col. 5, lines 9-14; col. 6, lines 35-56; Fig. 1).

As per Claim 9.

wherein said broadcast elements include audio (at least col. 3, lines 39-44).

As per Claim 10.

wherein said broadcast elements include video (at least col. 3, lines 39-44; col. 5, lines 20-30).

As per Claim 11.

wherein said transmission media is the Internet (at least col. 3, lines 36-40).

As per Claim 12.

wherein said transmission media is a local area network (at least col. 3, lines 36-44).

As per Claim 14.

wherein the user profiles stored in the data management system include initial registration information derived from when the user first logs in (at least Fig. 2; col. 3, lines 32-36; col. 5 line 64 - col. 6 line 8).

As per Claim 15.

wherein the user profiles stored in the data management system include information related to a user's preferred frequency of content (at least col. 6, lines 57-63; col. 5, lines 3-8).

As per Claim 16.

wherein the user profiles stored in the data management system include demographic information relating to each user (at least col. 4 line 64 - col. 5 line 8; col. 4, lines 16-21).

As per Claim 17.

wherein the user profiles stored in the data management system are automatically updated based on the user's pattern of usage (at least col. 4 line 64 - col. 5 line 8).

As per Claim 18.

wherein said broadcast element selector selects broadcast elements based on a collaborative filtering process (best fitting music selection) (at least col. 4 line 64 - col. 5 line 8).

As per Claim 19.

wherein the system is implemented in software on a single computer (at least col. 3 line 65 - col. 4 line 10; col. 3, lines 30-35).

As per Claim 20.

wherein the system is implemented as multiple software programs executing on more than one server (at least col. 3 line 65 - col. 4 line 10; col. 3, lines 30-35).

As per Claim 21.

wherein the servers are located in more than one physical location (at least col. 4, lines 3-10; Fig. 1; col. 7, lines 10-19).

As per Claim 22.

wherein said each broadcast element selection function is operable to select broadcast elements based on a user request (subscriber making music selections) (at least col. 5, lines 57-67; col. 6, lines 25-29).

As per Claim 23, Wolfe discloses a personal radio server system for providing a customized radio broadcast to one or more users, a system, wherein Wolfe discloses:

a general purpose computer having a central processing unit and memory for storing user profiles for one or more users (at least Fig. 1; col. 3 line 66 - col. 4 line 29);

said central processing unit implementing a program that causes the central processing unit to produce individual audio streams for each of the one or more users based on the user profiles stored in memory (specific material vary as a function of subscriber dossier) (at least col. 3, lines 39-49; col. 6, lines 30-56; col. 4, lines 27-29, 54-63; col. 3, lines 6-8).



As per Claim 24.

wherein the individual audio streams comprise one or more audio elements (at least col. 3, lines 2-8).

As per Claim 25.

wherein the audio elements are stored as a library of digital elements (at least col. 4, lines 16-29).

As per Claim 26.

further comprising a file server for storing the library of digital elements (at least Fig. 1; col. 3 line 66 - col. 4 line 3; col. 3, lines 30-33; col. 4, lines 16-29).

As per Claim 27.

further comprising an audio element selector for selecting audio elements for each of the one or more users (at least col. 6, lines 35-56).

As per Claim 28.

wherein the audio element selector is implemented as separate threads for each of the one or more users (at least col. 6, lines 17-24; Fig. 3).

As per Claim 34.

wherein said audio elements include advertising (at least col. 6, lines 35-56).

As per Claim 36.

wherein said audio element server comprises a radio program clock for selecting the type of audio element to transmit based on frequency parameters specified in a particular user's profile (purchasing habits, previous music selections, etc) (at least col. 4 line 64 - col. 5 line 8; col. 3, lines 44-51).

As per Claim 37.

wherein the frequency parameters are selected by the user (purchasing habits, previous music selections, etc) (at least col. 4 line 64 - col. 5 line 8).

***Claim Rejections - 35 USC § 103***

8. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

9. Claims 13 and 35 are rejected under 35 U.S.C. 103(a) as being unpatentable over Wolfe in view of Mackintosh et al (hereinafter "Mackintosh", 6,317,784).

10. As per Claim 13.

Wolfe fails to explicitly disclose the transmission media is a wireless communications network. However, the use and advantages for using such a network is well known to one skilled in the art at the time the invention was made as evidenced by the teachings of Mackintosh (at least col. 21, lines 47-55; col. 8, lines 51-65). Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to incorporate the use of Mackintosh's wireless network into Wolfe's system as this would enhance Wolfe's system to be operable on more networks as wireless is simply a common variant for a network to operate on in particular networks and offers portable broadcast music just as FM radio broadcasts is very popular.

11. As per Claim 35.

Wolfe fails to explicitly disclose the audio elements include talk by a DJ. However, the use and advantages for using such an audio element is well known to one skilled in the art at the time the invention was made as evidenced by the teachings of Mackintosh (at least col. 3, lines 1-16). Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to incorporate the use of DJ tracks being incorporated into Wolfe's system as Wolfe discloses broadcasting radio broadcasts over the internet (at least col. 10, lines 30-56) and DJ commentary is simply another form of audio content to be streamed as commonly done in the radio industry.

### ***Conclusion***

12. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Srinivasan et al, Lotspiech et al, and Rouchon are cited for disclosing pertinent information related to the claimed invention. Applicants are requested to consider the prior art reference for relevant teachings when responding to this office action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Gregory G Todd whose telephone number is (703)305-5343. The examiner can normally be reached on Monday - Friday 9:00am-6:00pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ario Etienne can be reached on (703)308-7562. The fax phone number for the organization where this application or proceeding is assigned is (703) 872-9306.

Application/Control Number: 09/656,765  
Art Unit: 2157

Page 11

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703)305-3900.

Gregory Todd



Patent Examiner

Technology Center 2100



**ARIO ETIENNE**  
**SUPERVISORY PATENT EXAMINER**  
**TECHNOLOGY CENTER 2100**